

2012 MBA BSA/AML Compliance

May 8, 2012 • Best Western Plus Gran Tree, Bozeman

This is our flagship BSA/AML training event. The program incorporates a review of all your institution's compliance responsibilities; it is the annual review everyone needs to remember their critical duties. The seminar addresses all key BSA responsibilities from CTR & SAR filing to record retention. It also reviews the required elements of your AML program from CIP to enhanced due diligence.

Program content emphasizes recent changes and major proposed changes. There have been plenty in the last 12 months!

SCHEDULE

Tuesday, May 8

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|-----------|--------------------------------|
| 8:00 a.m. | Registration & Light Breakfast |
| 8:30 a.m. | Seminar |
| Noon | Lunch |
| 1:00 p.m. | Seminar |
| 4:30 p.m. | Adjourn |

**One-Time Offering
for 2012!!!**

TOPICS

Tuesday, May 8th

The presentation is a comprehensive overview of BSA/AML compliance issues, but it focuses on recent developments too. The program includes coverage of:

- Updating and Upgrading Your Risk Assessment
- Anti – Money Laundering programs (AMLs)
- Customer Identification Programs (CIPs)
- Due Diligence and Enhanced Due Diligence
- Currency Transaction Reporting (CTRs)
- CTR Filing Exemption Process
- Record Retention
- Official Checks
- Wire Transfers
- Suspicious Activity Reporting
- Training
- MSB Concerns
- Prepaid Access Developments

Why Should You Attend?

- BSA is one of the few regulations where compliance is so critical it is classified as a “safety and soundness” issue.
- Regulations require every bank to have an ongoing Bank Secrecy Act training program and examiners routinely ask for evidence of recent training – even from experienced BSA personnel.
- SAR filing requirements are one of the most difficult compliance responsibilities your institution has

Who Should Attend?

The program is designed for personnel who have responsibility for maintaining or auditing Bank Secrecy Act compliance efforts.

BSA/AML Compliance

May 8, 2012

Best Western Plus Gran Tree, Bozeman
1325 N. 7th Ave. Bozeman
406/587-5261



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Instructor

Chuck Lewis, CRCM, Vice President, Compliance Services. Missouri Bankers Association

Chuck, who began his banking career over 31 years ago, was most recently Director for Risk Management Services for RSM McGladrey, Inc. in Kansas City. He also served as president of UMB Consulting Services, Inc., a subsidiary of UMB Financial Corporation. For over 20 years, he was Director of Regulatory Services for UMB. A Certified Regulatory Compliance Manager, Chuck frequently speaks at programs sponsored by the American Bankers Association and numerous state banking associations. In 2004, he received the distinguished service award at the ABA's Regulatory Compliance Conference, which is presented to recognize outstanding leadership, initiative, and accomplishment in banking's regulatory compliance management profession. He is a past member of the ABA's Executive Compliance Committee and Missouri Bankers Association's Compliance Task Force Committee, and a current faculty member for the Barret School of Banking (Memphis), the Schools of Banking in Nebraska, the Missouri School of Bank Management and the Graduate School of Banking in Wisconsin.

Registration Form

Yes, I want to attend the 2012 BSA/AML Compliance Seminar

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Bank/Firm Name _____ Phone _____ Fax _____

Address _____ City/State/Zip _____

List Registrant(s) _____

Name, Title

E-mail

Name, Title

E-mail

Registration Fee

- MBA Member\$210
- Additional MBA Member*\$160
- Non-Member\$420
- Additional Non-Member*\$380

**Additional Member - Same Bank, Same City*

Payment

- Check, Payable to MBA
- Bill me

MasterCard/Visa/American Express/Discover ~

Available only with your online registration

Three Ways to Register:

FAX: Complete form and fax to 406.443.7850

MAIL: Complete form and mail to Montana Bankers Association,
1 N Last Chance Gulch, Ste. 4, Helena, MT 59601

ONLINE: Visit www.montanabankers.com (Education)

Hotel Reservations

Call 406/587-5261 to make your hotel reservations at the Hilton Garden Inn, 1325 N. 7th Ave., Bozeman, MT. Mention the Montana Bankers Association and get our special discounted rate based on group availability.

Cancellation Deadlines

Cancellations before April 9, 2012 will receive a full refund. A \$50 processing fee will be charged for any cancellations after April 9, 2012.

THE MBA GUARANTEE

MBA guarantees the BSA/AML Compliance Seminar will meet or exceed your expectations. If it doesn't, simply let us know and we'll refund your full registration fee – no questions asked!

For questions or additional information, please contact:

Pam O'Reilly, Association Services Director, at 800/541-5126 or pam@montanabankers.com