

# 2012 MBA Deposit Compliance

May 9 & 10, 2012 • Best Western Plus Gran Tree, Bozeman

“Getting acquainted” and “keeping up” are the most common training goals for compliance and operations personnel. Deposit side compliance responsibilities continue to grow with new laws aimed at consumer protection. Even more frequently than new laws are issued, the implementing regulations or official commentaries of existing laws are revised.

Financial institution personnel who are new to “compliance” often look for a place to start, an introduction to the major issues. Also, those who are experienced acknowledge their need for an annual review of compliance requirements. This program meets both those needs. It reviews key regulations at the introductory level, noting recent developments and common errors.

## SCHEDULE

### Wednesday, May 9

7:45 a.m.	Registration & Light Breakfast
8:00 a.m.	Round Table Discussion
10:00 a.m.	Seminar
Noon	Lunch
1:00 p.m.	Seminar
5:00 p.m.	Adjourn

### Thursday, May 10

8:00 a.m.	Light Breakfast
8:30 a.m.	Seminar
Noon	Adjourn

### **Attendees learn:**

- coverage applicability;
- disclosure requirements;
- recent developments; and
- common errors and potential liability.

### **Coverage includes:**

- Expedited Funds Availability Act (Regulation CC )
- Electronic Funds Transfer Act (Regulation E)
- Truth in Savings Act
- Right to Financial Privacy Act
- Privacy Provisions (Regulation P)
- Deposit Advertising Issues
- FDIC Insurance
- Account Titling Missteps
- FACTA
- UDAP (Soon to be UDAAP!)

### Objectives

Operations personnel need a basic understanding of compliance requirements to:

- maintain “current” status on recent developments,
- avoid violations and
- establish the concept that “compliance” is a basic job responsibility, not an “add-on” to everyday responsibilities.

### Instructor

**Chuck Lewis, CRCM, Vice President, Compliance Services. Missouri Bankers Association**

Chuck, who began his banking career over 31 years ago, was most recently Director for Risk Management Services for RSM McGladrey, Inc. in Kansas City. He also served as president of UMB Consulting Services, Inc., a subsidiary of UMB Financial Corporation. For over 20 years, he was Director of Regulatory Services for UMB. A Certified Regulatory Compliance Manager, Chuck frequently speaks at programs sponsored by the American Bankers Association and numerous state banking associations. In 2004, he received the distinguished service award at the ABA’s Regulatory Compliance Conference, which is presented to recognize outstanding leadership, initiative, and accomplishment in banking’s regulatory compliance management profession. He is a past member of the ABA’s Executive Compliance Committee and Missouri Bankers Association’s Compliance Task Force Committee, and a current faculty member for the Barret School of Banking (Memphis), the Schools of Banking in Nebraska, the Missouri School of Bank Management and the Graduate School of Banking in Wisconsin.



**Reserve Your Spot Early  
Limited Availability!**

# Deposit Compliance

## May 9 & 10, 2012

**Best Western Plus Gran Tree**

**1325 N. 7th Ave.**

**Bozeman, MT**

**406/587-6261**

### Registration Form

**Yes, I want to attend the 2012 MBA Deposit Compliance Seminar**

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Bank/Firm Name \_\_\_\_\_ Phone \_\_\_\_\_ Fax \_\_\_\_\_

Address \_\_\_\_\_ City/State/Zip \_\_\_\_\_

List Registrant(s) \_\_\_\_\_

Name, Title

E-mail

Name, Title

E-mail

#### Registration Fee

- MBA Member .....\$375
  - \*Additional MBA Member .....\$325
  - Non-Member .....\$750
  - \*Additional Non-Member .....\$700
- \*Additional Member - Same Bank, Same City*

#### Payment

- Check, Payable to MBA
- Bill me

**MasterCard/Visa/American Express/Discover ~**  
Available only with your online registration

#### Three Ways to Register:

**FAX:** Complete form and fax to 406.443.7850

**MAIL:** Complete form and mail to Montana Bankers Association,  
1 N Last Chance Gulch, Ste. 4, Helena, MT 59601

**ONLINE:** Visit [www.montanabankers.com](http://www.montanabankers.com) (Education)

#### Hotel Reservations

Call 406/587-5261 to make your hotel reservations at the Best Western Plus Gran Tree, 1325 N. 7th Ave., Bozeman, MT. Mention the Montana Bankers Association and get our special discounted rate based on group availability.

#### Cancellation Deadlines

Cancellations before May 1, 2012 will receive a full refund. A \$50 processing fee will be charged for any cancellations after May 1, 2012.

#### THE MBA GUARANTEE

MBA guarantees the MBA Deposit Compliance Seminar will meet or exceed your expectations. If it doesn't, simply let us know and we'll refund your full registration fee – no questions asked!

For questions or additional information, please contact:

Pam O'Reilly, Association Services Director, at 800/541-5126 or [pam@montanabankers.com](mailto:pam@montanabankers.com)