

# 2010 MBA

## BSA/AML Compliance

May 5, 2010 • Hilton Garden Inn, Bozeman

*Regulatory expectations have never been greater, which means there is an intensified focus on the effective and meaningful elements of the BSA Program. This new seminar will discuss many “need-to-know” issues for the BSA/AML Professional. Each topic will be covered in-depth with an interactive and practical approach.*

### SCHEDULE

#### **Wednesday, May 5**

8:00 a.m.	Registration & Light Breakfast
8:30 a.m.	Seminar
Noon	Lunch
1:00 p.m.	Seminar
4:30 p.m.	Adjourn

#### **TOPICS**

##### **Wednesday, May 5<sup>th</sup>**

- BSA/AML Requirements Refresher  
Technical Requirements (CTRs, Exemptions, CIP, CDD, Program Pillars)  
Core and Expanded Procedures
- Customer Due Diligence
- Enhanced Due Diligence
- Suspicious Activity Monitoring
- Suspicious Activity Investigations and Reporting
- Common Audit & Exam Findings

#### **Why Should You Attend?**

##### **To Stay Ahead Of The Exam Process!**

BSA/AML Compliance continues to be a hot spot during examinations, and violations are a serious matter. This seminar will highlight the primary areas of supervisory focus and recent enforcement trends. It will also highlight recent developments in the anti-money laundering laws and regulations. You'll get a look at the most common compliance violations and will hear practical tips to prevent future problems. You'll have an opportunity to ask questions about forms, reporting and recordkeeping.

The law requires BSA/AML Officers to stay up-to-date on changes in the law and anti-money laundering trends. This seminar will provide you with valuable insights into what's new, and will help you meet your professional development goals. You'll leave with a deeper understanding of where to focus your compliance reviews and internal testing.

#### **Who Should Attend?**

This Seminar is ideally suited to compliance professionals with BSA/AML responsibilities who have a basic understanding of anti-money laundering laws and regulations, including BSA officers, compliance officers, auditors, head tellers, and risk managers.

# BSA/AML Compliance

## May 5, 2010

Hilton Garden Inn, Bozeman  
2023 Commerce Way  
406/582-9900



**One-Time Offering  
for 2010!!!**

### Instructor

**William (Wylli) Foote, CRCM.**

Director of Operations for TCA, Inc., Wylli has over 35 years of banking experience covering a variety of responsibilities. He has been working full-time in the regulatory compliance and risk management field since 1986 in several financial institutions and as a consultant. In addition to his involvement with the Montana Bankers Association, he is a trainer/speaker for the American Bankers Association, the Illinois Bankers Association, the California Bankers Association, and the Michigan Bankers Association. Foote holds a BA degree in Economics and Management from Trinity International University and is a graduate of the ABA's Graduate School of Banking, ABA's National Compliance School, and the ABA's National Graduate Compliance School. He is currently on the Editorial Advisory Board for the ABA Bank Compliance Magazine and has served on numerous committees for the ABA and the IBA. Foote achieved the ABA's Certified Regulatory Compliance Manager designation in 1991 (a charter member) and has been directly involved in the development of the CRCM program with leadership in the development of the program from 1998-2007.

## Registration Form

### Yes, I want to attend the 2010 BSA/AML Compliance Seminar

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Bank/Firm Name \_\_\_\_\_ Phone \_\_\_\_\_ Fax \_\_\_\_\_

Address \_\_\_\_\_ City/State/Zip \_\_\_\_\_

List Registrant(s) \_\_\_\_\_

Name, Title

E-mail

Name, Title

E-mail

### Registration Fee

- MBA Member .....\$210
- Additional MBA Member\* .....\$160
- Non-Member .....\$420
- Additional Non-Member\* .....\$380

*\*Additional Member - Same Bank, Same City*

### Payment

- Check, Payable to BANCERVE, Inc.
- Bill me

**MasterCard/Visa/American Express/Discover ~**

Available only with your online registration

### Three Ways to Register:

**FAX:** Complete form and fax to 406.443.7850

**MAIL:** Complete form and mail to Montana Bankers Association,  
1 N Last Chance Gulch, Ste. 4, Helena, MT 59601

**ONLINE:** Visit [www.montanabankers.com](http://www.montanabankers.com) (Education)

### Hotel Reservations

Call 406/582-9900 to make your hotel reservations at the Hilton Garden Inn, 2023 Commerce Way, Bozeman, MT. Mention the Montana Bankers Association and get our special discounted rate based on group availability.

### Cancellation Deadlines

Cancellations before April 9, 2010 will receive a full refund. A \$50 processing fee will be charged for any cancellations after April 9, 2010.

### THE MBA GUARANTEE

MBA guarantees the BSA/AML Compliance Seminar will meet or exceed your expectations. If it doesn't, simply let us know and we'll refund your full registration fee – no questions asked!

For questions or additional information, please contact:

Jason Wood, Education Coordinator, at 800/541-5126 or [jason@montanabankers.com](mailto:jason@montanabankers.com)